ATTACHMENT TWELVE: CORPORATE DISCLOSURE FORM

TENNESSEE HOUSING DEVELOPMENT AGENCY

HOME PROGRAM DISCLOSURE AND CERTIFICATION

The undersigned, in order to participate in the HOME Program operated by the Tennessee Housing Development Agency, supplies the following information and certifies to the accuracy of such information.

Please be advised disclosure does not automatically bring denial of participation. However, failure to comply with this disclosure or to provide false information on this form can subject the individual signing such form to criminal sanctions under state law. All questions are to be answered to the best of signatory's knowledge with no requirement to investigate.

If the questions are not applicable, please write NONE or N/A.

(a) I, or any entity with which I am or have been affiliated in an ownership or decision making capacity, have never been convicted of a felony in Tennessee or any other state to the best of my knowledge except as follows:

_____

_____

_____

(b) I, or any entity with which I am or have been affiliated in an ownership or decision making capacity, have never been fined, suspended, debarred nor been the subject of a disciplinary investigation by a federal agency (includes FHA, VA, FDIC, FmHA, IRS, etc.) involving financial or housing activities to the best of my knowledge except:

_____

_____

_____

(c) I, or any entity with which I am or have been affiliated in an ownership or decision making capacity, have not filed for bankruptcy or reorganization at the present time to the best of my knowledge except: (If such a filing has happened within seven (7) years please explain:

_____

_____

_____

(d) I, or any entity with which I am or have been affiliated in an ownership or decision making capacity, hold the following licenses from a state regulatory authority. Please provide license numbers.

_____

_____

_____

(e) Such licenses have never been suspended or been the subject of a disciplinary investigation to the best of my knowledge except:

_____

_____

_____

CORPORATION: _____

BY: _____

TITLE: _____

Date: _____

In order to protect the integrity of Agency programs, the Agency Board hereby adopts a policy that those receiving money directly from contracts or administering contracts involving money will be required to file a disclosure form at the time that an application is filed and which will be updated when money is disbursed. The form will ask for information about activities which could be considered criminal in nature; activities which could show financial instability; or activities which have brought disciplinary action by a governmental regulatory agency.

The disclosure form will be applicable to the various grant programs such as HOME, the tax credit program and other programs administered by the Agency's Community Programs Division. Individuals applying for single family home loans or to be landlords or tenants in the rental assistance program already make disclosures to meet federal requirements. Originating Agents and Servicing Agents have contracts which serve to protect the Agency's position.

These forms should be filed by affected individuals, and decision makers for entities other than individuals when the Agency is supplying $20,000 or more to the recipient. Decision makers would include senior management and/or board members. Government officials do not have to fill out disclosure forms. Non-profit organizations which already must file accountings and other types of disclosure can submit a request for waiver upon a showing of comparable disclosure to another oversight agency. Such waiver requests will be considered by the Audit and Budget Committee of the Agency.